



ATTORNEY DOCKET NO.: 15911/09063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of)
TODD L. PHILLIPS) Art Unit:
) 2875
Serial No.: 10/698,330)
)
Filed: October 31, 2003)
)
Title: LIGHT FIXTURE CANDLE ASSEMBLY)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.56, 1.97, and 1.98, Applicant is submitting the art shown on the attached list for consideration by the Examiner.

I. Timing of the Submission (check one)

This Information Disclosure Statement is being submitted:

- ☐ Within three months of filing the application (Rule 97(b)(1)).
- ☐ Within three months of the international application entering the national stage (Rule 97(b)(2)).
- ☒ Before mailing of a first Office Action (Rule 97(b)(3)).
- ☐ Before mailing of a final action or notice of allowance, and accompanied by a fee (Rule 97(c)(2)).
- ☐ Before mailing of a final action or notice of allowance, and accompanied by a Rule 97(e) statement (Rule 97(c)(1)).
- ☐ After issuance of a Notice of Allowance but before payment of the issue fee, and accompanied by: (1) a Rule 97(e) statement, (2) a petition requesting consideration of the

information disclosure statement, and (3) a fee (Rule 97(d)).

II. Rule 97(e) Statement *(check one if required by item checked above)*

☐ Each item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

☐ No item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application nor was any such item known by any individual associated with the filing or prosecution of the patent application more than three months prior to the filing of the Information Disclosure Statement.

III. Copies of the References *(check one or both as applicable)*

☒ Copies of 5 *(insert "all" or number of enclosed references)* listed references are enclosed.

☐ Copies of _____ *(insert "all" or number of references not enclosed)* listed references are not enclosed because they are not required pursuant to Rule 98(d). The listed references were previously cited by or submitted to the Office in application serial number _____, filed _____, which is relied upon for an earlier filing date.

IV. Rule 98(a)(3) Statement for Non-English language references
(if required)

Pursuant to Rule 98(a)(3), the following is a concise explanation of the relevance of each patent, publication, or other information listed that is not in the English language:

V. Mailing Certificate (check one)



First Class Mail Certificate

I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 20, 2004.

Martha Boynton

(Typed/printed name of person mailing paper or fee)

Martha Boynton

(Signature of person mailing paper or fee)



Express Mail Certificate

"Express Mail" - Mailing Label Number
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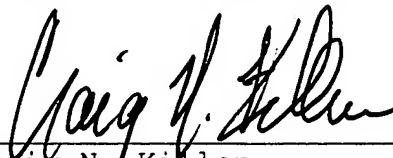
I hereby certify that this correspondence and any referenced attachment and/or fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R 1.10 on the date indicated above and is addressed to MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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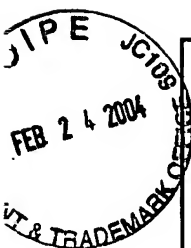
(Signature of person mailing paper or fee)

Please charge any fees required for consideration of the
art cited herein to our deposit account no. 50-1196.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Craig N. Killen", written over a horizontal line.

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Form PTO-1449										Docket Number Optional 15911/09063					Application Number 10/698,330						
INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>										Applicant Todd L. Phillips											
										Filing Date October 31, 2003					Group Art Unit 2875						
U.S. PATENT DOCUMENTS																					
EXAMINER INITIAL		DOCUMENT NUMBER							DATE		NAME					CLASS		SUBCLASS		FILING DATE IF APPROPRIATE	
		5	8	7	3	6	5	2	02/23/99		Bayer et al.										
		4	7	4	8	5	4	9	05/31/88		Scheer										
		4	1	0	7	7	7	0	08/15/78		Weber										
		3	7	1	9	8	2	0	03/06/73		Yarmark										
FOREIGN PATENT DOCUMENTS																					
		DOCUMENT NUMBER							DATE		COUNTRY					CLASS		SUBCLASS		Translation	
																				YES	NO
OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>																					
		Advertisement, "Beeswax Candlecovers and related products," <u>Elcanco Ltd. Home Lighting & Accessories Magazine</u> , July 2003, p. 176.																			
EXAMINER										DATE CONSIDERED											
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.																					